

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	Attorney Docket No.	010864.00101
	First Inventor	Harlan Wheatley
	Title	Process for Producing Vodka
	Express Mail Label No.	EV 305249521 US

22388 U.S. PTO  
10/660958

09/12/03

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop: Patent Apps P.O. Box 1450 Alexandria, Virginia 22313
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (Total Pages <input type="text" value="12"/> )	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets <input type="text" value="4"/> )	b. Specification Sequence Listing on:
5. Oath or Declaration (Total Pages <input type="text" value="1"/> )	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
a. <input type="checkbox"/> Newly executed (original or copy)	ii. <input type="checkbox"/> paper
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)	c. <input type="checkbox"/> Statements verifying identity of above copies
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	<b>ACCOMPANYING APPLICATIONS PARTS</b>
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
	10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney
	11. <input type="checkbox"/> English Translation Document (if applicable)
	12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input checked="" type="checkbox"/> Other: <u>Utility Patent Application Transmittal</u>

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:  
Prior application information: Examiner Group / Art Unit

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

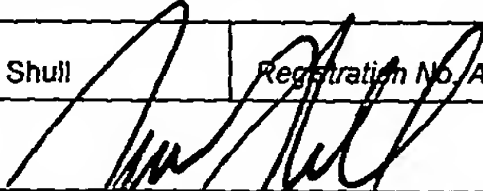
<b>17. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<input type="text" value="22908"/>		or <input type="checkbox"/> Correspondence address below	
		(Insert Customer No. or Attach bar code label here)			
Name	Banner & Witcoff, Ltd.				
Address	10 South Wacker Drive Suite 3000				
City	Chicago	State	Illinois	Zip Code	60606
Country	U.S.A.	Telephone	(312) 715-1000	Fax	(312) 715-1234

Name (Print/Type)	Jason S. Shull	Registration No. (Attorney/Agent)	47,085
Signature			Date September 12, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003		Complete if Known	
		Application Number	tdb
Patent fees are subject to annual revision.		Filing Date	September 12, 2003
		First Named Inventor	Harlan Wheatley
		Examiner Name	tdb
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	tdb
TOTAL AMOUNT OF PAYMENT (\$)		750	Attorney Docket No. 010864.00101

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 19-0733 Deposit Account Name: Banner & Witcoff, Ltd. The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1051</td><td>2051</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052</td><td>2052</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>1053</td><td>1053</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>1812</td><td>1812</td><td>2,520</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804</td><td>1804</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805</td><td>1805</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251</td><td>2251</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252</td><td>2252</td><td>410</td><td>205</td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253</td><td>2253</td><td>930</td><td>465</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254</td><td>2254</td><td>1,450</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255</td><td>2255</td><td>1,970</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401</td><td>2401</td><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>1402</td><td>2402</td><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403</td><td>2403</td><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>1451</td><td>1451</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452</td><td>2452</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453</td><td>2453</td><td>1,300</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>2501</td><td>1,300</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>2502</td><td>470</td><td>235</td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>2503</td><td>630</td><td>315</td><td>Plant issue fee</td><td></td></tr><tr><td>1460</td><td>1460</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807</td><td>1807</td><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr><tr><td>1806</td><td>1806</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>8021</td><td>8021</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>2809</td><td>750</td><td>375</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810</td><td>2810</td><td>750</td><td>375</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>1801</td><td>2801</td><td>750</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>1802</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify) _____</td></tr><tr><td colspan="6">*Reduced by Basic Filing Fee Paid</td></tr><tr><td colspan="6">SUBTOTAL (3) (\$)</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1051	2051	130	65	Surcharge - late filing fee or oath		1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet.		1053	1053	130	130	Non-English specification		1812	1812	2,520	2,520	For filing a request for <i>ex parte</i> reexamination		1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action		1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action		1251	2251	110	55	Extension for reply within first month		1252	2252	410	205	Extension for reply within second month		1253	2253	930	465	Extension for reply within third month		1254	2254	1,450	725	Extension for reply within fourth month		1255	2255	1,970	985	Extension for reply within fifth month		1401	2401	320	160	Notice of Appeal		1402	2402	320	160	Filing a brief in support of an appeal		1403	2403	280	140	Request for oral hearing		1451	1451	1,510	1,510	Petition to institute a public use proceeding		1452	2452	110	55	Petition to revive - unavoidable		1453	2453	1,300	650	Petition to revive - unintentional		1501	2501	1,300	650	Utility issue fee (or reissue)		1502	2502	470	235	Design issue fee		1503	2503	630	315	Plant issue fee		1460	1460	130	130	Petitions to the Commissioner		1807	1807	50	50	Processing fee under 37 CFR 1.17 (q)		1806	1806	180	180	Submission of Information Disclosure Stmt		8021	8021	40	40	Recording each patent assignment per property (times number of properties)		1809	2809	750	375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	2810	750	375	For each additional invention to be examined (37 CFR § 1.129(b))		1801	2801	750	375	Request for Continued Examination (RCE)		1802	1802	900	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$)					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
1051	2051	130	65	Surcharge - late filing fee or oath																																																																																																																																																																																															
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																															
1053	1053	130	130	Non-English specification																																																																																																																																																																																															
1812	1812	2,520	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																															
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
1251	2251	110	55	Extension for reply within first month																																																																																																																																																																																															
1252	2252	410	205	Extension for reply within second month																																																																																																																																																																																															
1253	2253	930	465	Extension for reply within third month																																																																																																																																																																																															
1254	2254	1,450	725	Extension for reply within fourth month																																																																																																																																																																																															
1255	2255	1,970	985	Extension for reply within fifth month																																																																																																																																																																																															
1401	2401	320	160	Notice of Appeal																																																																																																																																																																																															
1402	2402	320	160	Filing a brief in support of an appeal																																																																																																																																																																																															
1403	2403	280	140	Request for oral hearing																																																																																																																																																																																															
1451	1451	1,510	1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
1452	2452	110	55	Petition to revive - unavoidable																																																																																																																																																																																															
1453	2453	1,300	650	Petition to revive - unintentional																																																																																																																																																																																															
1501	2501	1,300	650	Utility issue fee (or reissue)																																																																																																																																																																																															
1502	2502	470	235	Design issue fee																																																																																																																																																																																															
1503	2503	630	315	Plant issue fee																																																																																																																																																																																															
1460	1460	130	130	Petitions to the Commissioner																																																																																																																																																																																															
1807	1807	50	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																															
1806	1806	180	180	Submission of Information Disclosure Stmt																																																																																																																																																																																															
8021	8021	40	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																															
1809	2809	750	375	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																															
1810	2810	750	375	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																															
1801	2801	750	375	Request for Continued Examination (RCE)																																																																																																																																																																																															
1802	1802	900	900	Request for expedited examination of a design application																																																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																																																			
*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																			
SUBTOTAL (3) (\$)																																																																																																																																																																																																			
<b>1. BASIC FILING FEE</b> <table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>2001</td><td>750</td><td>375</td><td>Utility filing fee</td><td>750</td></tr><tr><td>1002</td><td>2002</td><td>330</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>2003</td><td>520</td><td>260</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>2004</td><td>750</td><td>375</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>2005</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$) 750</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1001	2001	750	375	Utility filing fee	750	1002	2002	330	165	Design filing fee		1003	2003	520	260	Plant filing fee		1004	2004	750	375	Reissue filing fee		1005	2005	160	80	Provisional filing fee		SUBTOTAL (1)					(\$) 750	<b>2. EXTRA CLAIM FEES</b> <table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>1202</td><td>2202</td><td>18</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>1201</td><td>2201</td><td>84</td><td>42</td><td>Independent claims in excess of 3</td></tr><tr><td>1203</td><td>2203</td><td>280</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>1204</td><td>2204</td><td>84</td><td>42</td><td>** Reissue independent claims over original patent</td></tr><tr><td>1205</td><td>2205</td><td>18</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="5">SUBTOTAL (2) (\$)</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	1202	2202	18	9	Claims in excess of 20	1201	2201	84	42	Independent claims in excess of 3	1203	2203	280	140	Multiple dependent claim, if not paid	1204	2204	84	42	** Reissue independent claims over original patent	1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2) (\$)																																																																																																																							
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
1001	2001	750	375	Utility filing fee	750																																																																																																																																																																																														
1002	2002	330	165	Design filing fee																																																																																																																																																																																															
1003	2003	520	260	Plant filing fee																																																																																																																																																																																															
1004	2004	750	375	Reissue filing fee																																																																																																																																																																																															
1005	2005	160	80	Provisional filing fee																																																																																																																																																																																															
SUBTOTAL (1)					(\$) 750																																																																																																																																																																																														
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description																																																																																																																																																																																															
1202	2202	18	9	Claims in excess of 20																																																																																																																																																																																															
1201	2201	84	42	Independent claims in excess of 3																																																																																																																																																																																															
1203	2203	280	140	Multiple dependent claim, if not paid																																																																																																																																																																																															
1204	2204	84	42	** Reissue independent claims over original patent																																																																																																																																																																																															
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																															
SUBTOTAL (2) (\$)																																																																																																																																																																																																			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jason S. Shull	Registration No./Attorney/Agent	47,085
Signature		Telephone	312/463-5000
		Date	September 12, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

**CERTIFICATE OF MAILING  
(PATENT)**

Express Mail No.: EV 305249521 US

Deposited: September 12, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop: Patent Applications, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Application of: Harlan Wheatley  
Serial No.: n/a  
Filing Date: September 12, 2003  
Title: Process for Producing Vodka

Transmitted herewith are the following documents:

- X Patent Application Transmittal Form (1 page)
- X Fee Transmittal Form (1 page) in duplicate
- X Specification, 12 pages including claims and abstract
- X Informal Drawings, 4 sheets, figs. 4
- X Application Data Sheet, 3 pages
- X Return Receipt Postcard

Attorney Case No.: 010864.00101